

## Audit Services FY2019-2020 Planned Audits

The list below summaries the planned audit activities for Audit Services for the 2019-2020 fiscal year. This list was developed using a transparent, risk-based audit planning approach by working with BCLC's board, executive and management. The design of our plan is to remain flexible and responsive to change, whether changes come from emerging risks, new projects or changes to the organization's controls. Ultimately, this will allow Audit Services to deliver the greatest value to our stakeholder while efficiently managing internal resources.

This list is a snapshot of the projected audit activities for the 2019-2020 fiscal year. It is important to note that this list is subject to change based on emerging risks, new projects, organization control changes and resourcing requirements.

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### List of Planned Audits

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- Assurance work on German Report remediation
  - Anti-Money Laundering and FINTRAC
  - Cybersecurity Incident Response
  - ILC Control Standards
  - Vulnerability Management – Applications
  - Vulnerability Management – Hardware
  - Access Reviews
  - Lottery Transformation:
    - Multi-phased, detailed to be determined
  - Casino Return to Player (RTP) Management:
    - Multiple sites
  - Gaming Management System (GMS):
    - Multi-phased, detailed to be determined
  - Player Data
  - Canadian Anti-Spam Legislation
  - Voluntary Self Exclusion
  - Financial Information Act Report
  - Host Local Government Payments
  - IT General Controls (supporting KPMG)
  - Executive Compensation Review
  - Holdback (Pensionable Portion)
  - HR Policy and Procedures
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